

Applicant or Patentee:	Kenneth A. Jones et a	lAttorney's
Serial or Patent No.:_	Not Yet Known	Docket No.:59896/JPW/ADM
Filed or Issued:	Herewith CHIMPIC C. PRO:	TELLO AND HOLO WHENDON
Title of Invention or	Patent: CHIMERIC G PROT	TEINS AND USES THEREOF
		
	ERIFIED STATEMENT (DECL	
SMZ	ALL ENTITY STATUS UNDER	
	AND \$1.27(d) - SMALL B	USINESS CONCERN
	_	•
I hereby declare that	1 am:	
the owner of	the small business con	ncern identified below.
		ncern empowered to act on behalf of the
concern iden	tified below:	
Name of Concorn, Synan	otic Pharmaceutical Corp	poration
Name of Concern: Synap	Thatmaceutical oot	JOTACION
Address of Concern: 21	15 College Road	
		2, U.S.A.
I hereby declare that	the above identified s	small business concern qualifies as a
small business concern	as defined in 13 C.F.	R. §121.3-18, reproduced in 37 C.F.R.
\$1.9(d), for purposes	of paying reduced fees	under 35 U.S.C. §41(a) and §41(b), in
that the number of empl	Loyees of the concern, 1	ncluding those of its affiliates, does
not exceed five hundred	(500) persons. For pu	rposes of this verified statement, the
number of employees of	the business concern is	s the average number, over the previous
fiscal year, of the	persons employed by the	ne business concern on a full-time, pay period of the fiscal year, and
part-time, or tempora	or of each other when	either directly or indirectly, one
concerns are diffilate	es of each other when,	me other, or a third party or parties
controls or has power	to control both.	
I hereby declare that	rights under contract o	or law have been conveyed to and remain
with the small busine	ess concern identified	above with regard to the invention
entitled		
CHIMPPIA A PROTEINA	AND HARA WHENEON	
CHIMERIC G PROTEINS A	AND USES THEREOF	
described in:		
X the specificat:	ion filed herewith	
application ser	rial no. fil	led
patent no.	issued	Led
If the rights held by	the above identified sm	all business concern are not exclusive,
each individual, conce	ern or organization hav	ing rights to the invention is listed
below and no rights	to the invention are	held by any person, other than the
inventor, who could no	t qualify as an independ	dent inventor under 37 C.F.R. \$1.9(c)*,
any concern which c	ould not qualify as	a small business concern under 37
C.F.R. §1.9(d)* or as	a nonprofit organizati	ion under 37 C.F.R. §1.9(e)*.
Name: N/A		
		
Individual	Small Business Cor	ncern Nonprofit Organization
		

^aNOTE: Separate verified statements are required for each named person, concern, or organization having rights to the invention averring to their status as small entities. 37 C.F.R. §1.27.

- (c) An independent inventor as used in this chapter means any inventor who (1) has not assigned, granted, conveyed, or licensed, and (2) is under no obligation under contract or law to assign, grant, convey, or license, any rights in the invention to any person who could not likewise be classified as an independent inventor if that person had made the invention, or to any concern which would not qualify as a small business concern or a nonprofit organization under this section.
- (d) A small business concern as used in this chapter means any business concern as defined by the Small Business Administration in 13 C.F.R. §121.3-18, published on September 30, 1982 at 47 FR 43273. For the convenience of the users of these regulations, that definition states:
- §121.3-18 Definition of small business for paying reduced patent fees under Title 35, U.S. Code.
- (a) Pursuant to Pub. L. 97-247, a small business concern for purposes of paying reduced fees under 35 U.S. Code 41(a) and (b) to the Patent and Trademark Office means any business concern (1) whose number of employees, including those of its affiliates, does not exceed 500 persons and (2) which has not assigned, granted, conveyed, or licensed, and is under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who could not be classified as an independent inventor if that person had made the invention, or to any concern which would not qualify as a small business concern or a nonprofit For the purpose of this section concerns are organization under this section. affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both. The number of employees of the business concern is the average over the fiscal year of the the persons employed during each of the pay periods of the Employees are those persons employed on a full-time, part-time or fiscal year. temporary basis during the previous fiscal year of the concern.
- (b) If the Patent and Trademark Office determines that a concern is not eligible as a small business concern within this section, the concern shall have a right to appeal that determination to the Small Business Administration. The Patent and Trademark Office shall transmit its written decision and the pertinent size determination file to the SBA in the event of such adverse determination and size appeal. Such appeals by concerns should be submitted to the SBA at 1441 L Street, NW., Washington, D.C. 20416 (Attention: SBA Office of General Counsel). The appeal should state the basis upon which it is claimed that the Patent and Trademark Office initial size determination on the concern was in error; and the facts and arguments supporting the concern's claimed status as a small business concern under this section.
 - (e) A nonprofit organization as used in this chapter means (1) a university or other institution of higher education located in any country; (2) an organization of the type described in section 501(c)(3) of the Internal Revenue Code of 1954 (26 U.S.C. 501(c)(3)) and exempt from taxation under section 501(a) of the Internal Revenue Code (26 U.S.C. 501(a)); (3) any nonprofit scientific or educational organization qualified under a nonprofit organization statute of a state of this country (35 U.S.C. 201(i)); or (4) any nonprofit organization located in a foreign country which would qualify as a nonprofit organization under paragraphs (e)(2) or (3) of this section if it were located in this country.

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. 37 C.F.R. §1.28(b)*.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. §1001, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Name of Person Signing:	Kathleen P. Mullinix	
Title In Organization:	President and Chief Executive Officer	
Address:	215 College Road	
	Paramus, New Jersey 07652	
Signature: Kathley P. Mullinge		
Date Of Signature:	curren 20 1999	
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(b) Once status as a small entity has been established in an application or patent, fees as a small entity may thereafter be paid in that application or patent without regard to a change in status until the issue fee is due or any maintenance fee is due. Notification of any change in status resulting in loss of entitlement to small entity status must be filed in the application or patent prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate pursuant to §1.9 of this part. The notification of change in status may be signed by the applicant, any person authorized to sign on behalf of the assignee, or an attorney or agent of record or acting in a representative capacity pursuant to §1.34(a) of this part.